

The Honorable John H. Chun

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF WASHINGTON
AT SEATTLE

FEDERAL TRADE COMMISSION,

Plaintiff,

V.

AMAZON.COM, INC., *et al.*,

Defendants.

No. 2:23-cv-0932-JHC

REPLY IN SUPPORT OF
DEFENDANTS' RULE 702
MOTION TO PRECLUDE FTC
FROM DESIGNATING SUBSET
OF AMAZON PERCIPIENT
WITNESSES AS "EXPERTS"

**NOTED ON MOTION
CALENDAR: JUNE 24, 2025**

ORAL ARGUMENT REQUESTED

REPLY ISO DEFS.' MOT. TO PRECLUDE DESIGNATING
PERCIPIENT WITNESSES AS "EXPERTS"
(2:23-cv-0932-IHC)

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I. INTRODUCTION

In tacit acknowledgment of the strength of Amazon’s arguments, the FTC has withdrawn its attempted expert designation from two of the purported hybrid witnesses. But doing so cannot save the rest. The remaining four witnesses—Reid Nelson, David Edelstein, Christopher (“C.R.”) Brown, and Molly O’Donnell (collectively, the “Witnesses”—are not qualified experts under Federal Rule of Evidence (“FRE”) 702. Even if they were, allowing four additional “experts” on the overlapping noticed topics is needlessly cumulative and unduly confusing under FRE 403. By the FTC’s own admission, to properly admit the Witnesses as experts, the Court would have to give the jury limiting instructions on the difference between percipient and expert testimony and police the line during trial to ensure the jury understands exactly which type of testimony is being given at any time. *See* Dkt. 358 (“Opp.”) at 14. The FTC can call witnesses as percipient witnesses, subject to the normal evidentiary constraints for such witnesses. But it is not entitled to designate its most favorable witnesses “experts.”

14 In the alternative, if the Court is prepared to entertain hybrid witnesses, Amazon asks that
15 it be permitted to counter-designate four unretained experts to offset the FTC's. Specifically,
16 Amazon would designate four arguably *more* qualified, previously disclosed percipient witnesses
17 as non-retained experts: Jenny Blackburn, Ryan Ogburn, Danielle Paulet, and Katey Muus.

II. ARGUMENT

A. The Witnesses Are Not “Experts” Under FRE 702

1. The Witnesses Lack Qualifications

21 The FTC still has not demonstrated that any of the Witnesses are qualified in the “specific
22 subjects” they are noticed for. The FTC’s contention that the Witnesses are “experts” in the broad
23 fields of UX design or UX research fail because, under FRE 702, general qualifications as an
24 expert are insufficient; rather, an expert “must be qualified in the *specific subject* for which his
25 testimony is offered.” *Whiting v. Boston Edison Co.*, 891 F. Supp. 12, 24 (D. Mass. 1995)
26 (emphasis added); *see also United States v. Chang*, 207 F.2d 1169, 1172-73 & n.2 (9th Cir. 2000)
27 (professor who was “extremely qualified” in foreign finance was nevertheless not qualified to

1 identify counterfeit foreign securities). For example, the FTC points to Edelstein’s experience
 2 “[d]esigning and analyzing A/B testing” and UX research he conducted at *Microsoft* to assert he
 3 is an expert in the “UX field.” Opp. at 6. But Edelstein’s experience conducting tests at *Microsoft*
 4 does not explain why he is an expert on *Amazon-specific* user tests. *See* Ex. 28¹ at 5 (FTC
 5 disclosing that “Edelstein will opine that when evaluating the effectiveness of clarity treatments’
 6 impact on reducing customer confusion, the 12-month paid conversion rate is a better metric than
 7 the 90-day paid conversion rate”). To the contrary, Edelstein testified during his deposition that
 8 he did not conduct *any* user studies or A/B testing while at Amazon, Ex. 48 at 50:21-51:1, nor did
 9 he speak directly to any customers in his role at Amazon, *id.* at 51:11-25. Thus, Edelstein is not
 10 qualified to be an expert on Amazon’s specific testing metrics and how its flows tested with
 11 consumers.

12 The FTC makes the meritless argument that the Witnesses are “experts” because “their
 13 qualifications met Amazon’s high standards when the company hired them” Opp. at 5. It goes
 14 without saying that Amazon did not conduct a FRE-702 analysis when hiring the Witnesses—and
 15 their status as Amazon employees does not render them “experts” for purposes of trial.

16 Next, the FTC repeatedly claims that Amazon seeks to preclude hybrid witnesses because
 17 Amazon failed to timely designate its own. *See, e.g.*, Opp. at 1, 4. However, the FTC has
 18 fundamentally misunderstood Amazon’s *Daubert* Motion. Amazon’s request for alternative relief
 19 is not a belated attempt to qualify additional non-retained experts. Rather, Amazon’s position has
 20 always been that *none* of the percipient witnesses should be designated as experts under FRE 702.
 21 But if the Court permits the FTC’s cherry-picked Witnesses to testify as “experts,” Amazon seeks
 22 only that it be permitted to counterbalance the obvious prejudice of having hybrid experts
 23 exclusively in the FTC’s case in chief.

24
 25
 26
 27 ¹ “Ex.” refers to exhibits attached to the May 27, 2025 Omnibus Declaration of Joseph Reiter (Dkt. 327) (Exs. 1-59)
 or the June 24, 2025 Omnibus Declaration of Joseph Reiter (Exs. 135-42).

1 **2. The Witnesses Lack Personal Knowledge**

2 The FTC’s Opposition failed to establish that the Witnesses have the personal knowledge
 3 required to provide reliable “expert” testimony. Unlike retained experts, the Witnesses cannot
 4 rely on “information provided by others or in a manner other than by being a percipient witness to
 5 the events in issue.” *United States v. Sierra Pac. Indus.*, 2011 WL 2119078, *4 (E.D. Cal. May
 6 26, 2011). Instead of arguing that the Witnesses have the requisite personal knowledge to support
 7 the opinions the FTC invented for them—an objective the FTC knows it cannot accomplish—the
 8 FTC baselessly accuses Amazon of coercing the Witnesses into declaring that they lack personal
 9 knowledge to testify as experts. *See Opp.* at 8-9 & n.7. To begin, the FTC’s insinuations about
 10 unethical coercion by Amazon and its attorneys are defamatory and meritless. To the contrary, it
 11 was the FTC that tried to force-feed opinions to these Witnesses by drafting hypothetical opinions
 12 without checking to see if the Witnesses agreed with or had the personal knowledge to support
 13 such opinions.

14 Simply comparing the FTC’s Disclosure with each Witness’s actual testimony reveals
 15 how far the FTC overreached. For example, the FTC claims Brown “will opine that some users
 16 thought that clicking an ‘End Membership’ CTA in the ingress to Iliad, ended their Prime
 17 membership, when in fact they were still members.” Ex. 28 at 3. But this stretches Brown’s
 18 deposition testimony far beyond what he actually said. In reality, when the FTC asked Brown,
 19 “were you aware that one of the pain points of the [Iliad] cancellation flow was that people
 20 thought that by selecting the ‘end membership’ in ... Prime Central would cancel their Prime
 21 membership when, in fact, it did not do that?” Ex. 139 at 176:14-19. Brown responded, “I don’t
 22 recall the specific feedback.” *Id.* at 176:22. Brown then speculated that the “End Membership”
 23 CTA “could” be confusing, but he emphasized the need to conduct user testing to get a definitive
 24 answer:

25 My thoughts are, when I see the words end membership, I *could* see some users
 26 thinking that clicking that button just simply ends their membership. *I don’t know*
how many people would think that. But that’s what I can foresee. ...

1 I could posit end membership being a perfectly fine CTA. However, I would
 2 definitely want to test to understand whether or not customers are expecting
 3 options to be shown to them in the cancellation
 4

5 *Id.* at 177:17-180:11 (emphasis added). As this example makes clear, the FTC overplayed its hand
 6 by unilaterally drafting opinions for the Witnesses that exceeded their personal knowledge and
 7 the actual opinions they formed while they worked at Amazon. The fact that two of the
 8 Witnesses, Nelson and Brown, voluntarily submitted declarations stating as much demonstrates
 9 that the FTC's notice does not pass FRE 702 muster.
 10

11 In opposition, the FTC incorrectly argues that the Witnesses can testify about topics they
 12 have no personal knowledge of. But this plainly misstates the law. The FTC claims that "the
 13 limitation on nonretained experts' opinions under Rule 26(a)(2)(B) ... is merely a disclosure issue:
 14 experts must execute a detailed report if they offer opinions formed for the purpose of litigation."
 15 Opp. at 9. But that is precisely the point: "[t]he distinguishing characteristic between expert
 16 opinions that require a report and those that do not is *whether the opinion is based on information*
the expert witness acquired through percipient observations or whether, as in the case of retained
 17 experts, the opinion is based on information provided by others." *Flathead-Lolo-Bitterroot*
Citizen Task Force v. Montana, 2024 WL 3966730, *5 (D. Mont. Aug. 28, 2024) (emphasis
 18 added) (quoting *Sierra Pac. Indus.*, 2011 WL 2119078, *4).

19 Here, the Witnesses are disclosed under FRCP 26(a)(2)(C), which is exactly *why*, as non-
 20 retained experts who do not require reports, their testimony *must* be "based on information [they]
 21 acquired through *percipient observations*." *Id.* (emphasis added). Indeed, the cases the FTC relies
 22 on support this same proposition. See, e.g., Opp. at 9 (citing *SEC v. Cornerstone Acquisition &*
Mgmt. Co., 2025 WL 276318, *21 (S.D. Cal. Jan. 23, 2025) (explaining that a hybrid witness's
 23 "testimony should be limited, at trial, to the *personal knowledge* they have based on their
 24 involvement in the events relevant to this lawsuit" (emphasis added))). Thus, the FTC has failed
 25 to show that its non-retained experts have the requisite personal knowledge to provide reliable
 26 expert testimony.
 27

1 **B. Allowing Dual-Role Testimony Risks Juror Confusion and Is Needlessly
2 Cumulative Under FRE 403**

3 Even if the Witnesses were qualified experts under FRE 702, such “expert” testimony is
4 needlessly cumulative and unduly confusing under FRE 403. The FTC’s Opposition asserts that
5 “there is no authority—and Defendants offer none—that FRE 403 applies to expert designations
6 rather than ‘evidence.’” Opp. at 14. But in the very next sentence, the FTC states that Amazon’s
7 FRE 403 argument cites *United States v. Freeman*, which explains that “an objection raising [a]
8 concern” about a hybrid witness’s “dual role” is “an objection based on [FRE] 403.” 498 F.3d
893, 904 (citing *United States v. Dukagjini*, 326 F.3d 45 at 54 (2d Cir. 2003)).

9 The FTC further argues that the Ninth Circuit’s warning about the risk of confusion and
10 prejudice when allowing dual-role witnesses is mere “dicta,” and that dual-role testimony is
11 permissible “provided the court makes the jury aware of the witness[es]’ dual roles.” Opp. at 14
12 (quotation marks omitted). But this is exactly Amazon’s point. The Ninth Circuit is clear: “The
13 district courts *must* engage in *vigilant gatekeeping* to ensure that jurors are aware of the witness’s
14 dual roles.” *United States v. Holguin*, 51 F.4th 841, 862 (9th Cir. 2022) (emphasis added)
15 (cleaned up). If the Court admits the Witnesses as experts, their dual-role testimony will require
16 close policing by the Court and unnecessarily complicate the trial. The Court “*must* instruct jurors
17 about how to evaluate a dual-role testimony,” and may need additional “safeguards to mitigate the
18 dangers of … dual-role testimony,” including “bifurcat[ing]” each Witness’s testimony “into
19 percipient and expert phases,” and requiring the FTC to “specify whether each question was
20 directed at [the Witness’s] training and experience” or their role as lay witnesses. *Id.* at 862-63
21 (emphasis added).

22 The FTC’s request for the Court to engage in this torturous gatekeeping simply does not
23 pass the FRE 403 balancing test. As the FTC notes, it will call the Witnesses as fact witnesses
24 “regardless,” Opp. at 2, so the jury will hear the Witnesses’ percipient testimony either way. It is
25 only their purported expert opinions that would be disallowed, which, as discussed at length, are
26 not based on sufficient specific knowledge and expertise to be reliable and therefore helpful to the
27 jury. For example, the FTC disclosed that Edelstein will “opine that Amazon’s use of dark

1 patterns in the design of the checkout and cancellation processes was unethical.” Ex. 28 at 5. But
 2 is it clear that such an “expert” opinion has no FRE 702 reliability—Edelstein is not an ethicist
 3 and has no basis for testifying as an expert on such a topic. In that context, forcing the Court to
 4 repeatedly give jury instructions about lay versus expert testimony, bifurcating testimony into two
 5 phases, and requiring the questions to be specifically formulated as to whether the Witness’s lay
 6 or expert testimony is being solicited will undoubtedly confuse the issues, mislead the jury, and
 7 cause undue delay by wasting the jury and the Court’s time. *See* FRE 403 (“The court may
 8 exclude relevant evidence if its probative value is substantially outweighed by a danger
 9 of … unfair prejudice, confusing the issues, misleading the jury, undue delay, wasting time, or
 10 needlessly presenting cumulative evidence.”). This is especially the case since the Witnesses’
 11 noticed topics will already be covered by the FTC’s retained experts (*see* Dkt. 324 (Motion) at
 12 6)—there is no need to risk error in allowing cumulative hybrid testimony. *See United States v.*
 13 *Vera*, 770 F.3d 1232, 1235 (9th Cir. 2014) (vacating jury’s verdict regarding “drug quantity
 14 findings” because the court committed “plain error” by allowing hybrid “testimony that
 15 intermingled lay and expert opinion” without “explain[ing] the distinction to the jury”).

16 **III. CONCLUSION**

17 Amazon’s Motion should be granted because the FTC fails to establish the Witnesses can
 18 properly testify as experts under FRE 403 and 702 and Local Rule 43(j).

19
 20 DATED this 24th day of June, 2025.

21
 22 I certify that this memorandum contains 2,080 words, in compliance with the Local Civil
 23 Rules.
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